LONDON UNDERWRITERS

Version 10-2019

Wholesaler Appointment Application

Corporate Information:

Exact Name of the Corporation:

FEIN # Year Established Wholesaler License #

Wholesaler Name/DBA

Physical Address: Street

City State Zipcode

Mailing Address: Street

City State Zipcode

Wholesaler Phone # Fax #

Wholesaler Web Address

How did you hear about us?

Is your Wholesaler a part of any Associations:

- ☐ LAAIA
- ☐ IAABC
- ☐ WSIA
- ☐ PIA
- ☐ IAAPBC
- ☐ LCAIIA
- ☐ Target Markets
- Other:

Does your Wholesaler already have access to the following raters:

- ☐ Hiscox NOW
- ☐ Attune
- ☐ Next Insurance
- ☐ True Premium
- ☐ AU Gold

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Agency Errors and Omissions Policy

☐ I would like a quote for my wholesaler's E&O policy

Carrier		**Policy Number**	
Policy Limits		**Expiration Date**	

*Please attach a copy of E & O Declaration Page if you have a current policy

Business Breakdown

What lines of business you are typically writing?

Personal Lines

- ☐ Dwelling
- ☐ Homeowners
- ☐ Boats & Yachts
- ☐ Personal Articles Floater
- ☐ Other

Commercial Lines

- ☐ Property
- ☐ Casualty
- ☐ Marine
- ☐ Other

What are the top classes of business your wholesaler is typically writing?

- ☐ Artisan Contractors
- ☐ Architects & Engineers
- ☐ Consulting
- ☐ Creative & Design
- ☐ Financial
- ☐ Garage Liability
- ☐ Health, Beauty & Fitness
- ☐ Hospitality
- ☐ Janitorial
- ☐ Landscaping
- ☐ Legal
- ☐ Marketing & Public Relations
- ☐ Real Estate Technology
- ☐ Life Sciences
- ☐ Environmental
- ☐ Other

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Agency Personnel

Corporate Officers

Name	Email Address	Title/Position	Lic. Type	Number	PL	CL
					☐	☐
					☐	☐
					☐	☐

Agents and Producers

Name	Email Address	Title/Position	Lic. Type	Number	PL	CL
					☐	☐
					☐	☐
					☐	☐
					☐	☐
					☐	☐
					☐	☐

Customer Service Representatives

Name	Email Address	Title/Position	Lic. Type	Number	PL	CL
					☐	☐
					☐	☐
					☐	☐

Renewals Contact	Email
Policy Delivery Contact	Email
Accounting Contact	Email
Inspections Contact	Email

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Q & A Section

Please answer all questions. (If you mark 'Yes' for any answer, please include details of who, what, when, and dollar amount on additional form).	YES	NO
1 Have you ever had an appointment terminated by any insurance company or financial services?	☐	☑
2 Do you owe any debt or balance to any insurance company or financial services institution that has remained overdue for more than sixty (60) days?	☐	☑
3 Has any state or federal agency ever denied, suspended, revoked, or taken any action against any fiduciary license held or applied for by you, or have you ever voluntarily submitted to any sanction or surrendered any fiduciary license under threat of suspension or revocation of that license?	☐	☑
4 Has any state or federal self-regulatory body of any type, ever taken any disciplinary measures against you?	☐	☑
5 Have you ever had a claim filed against your Errors and Omissions Coverage, or has any bonding company, ever denied, paid out on, or revoked a bond for you?	☐	☑
6 Have you ever been the subject of any civil or administrative proceeding, including one initiated by a state department of insurance?	☐	☑
7 Do you have any felony charges pending against you, or have you ever pled guilty or nolo contendere to or been convicted of a felony or a crime involving moral turpitude.	☐	☑
8 Do you have any unsatisfied liens (tax or otherwise) or judgments (civil or otherwise) against	☐	☑
9 Have you been the subject of a bankruptcy petition or proceeding in the past seven (7) years?	☐	☑

I hereby represent that the answers and statements ("the information") I am giving London Underwriters, LLC and its affiliates ("the Company") on this application ("PBA") are correct, complete, and wholly true. (2) I understand the Company will rely on the information as one factor in considering this PBA, and may, at its option, terminate or rescind our resulting business relationship if any of the information is not as I have given it. (3) I give the Company, its employees, agents, and/or contractors permission to direct advertising or promotional phone calls, faxes, and electronic mail to the numbers and addresses I have listed above, as well as any others I provide. This permission continues until specifically revoked by me in writing. (4) If appointed to represent London Underwriters, LLC and its affiliates, I understand that I am considered an independent contractor, and not an employee of such company.

"I, _____,grant permission to London Underwriters, to use my image for use in Media publications such as social media, e-blasts, press releases, and website. I hereby waive any right to inspect or approve the finished photographs or electronic matter that may be used in conjunction with them now or in the future, whether that use is known to me or unknown, and I waive any right to royalties or other compensation arising from or related to the use of the image."

Authorization

I authorize the Company to conduct a public records search, and/or to obtain a consumer report and/or investigative consumer report about me from a consumer reporting agency. These reports may concern my credit history, worthiness, standing, and/or capacity. These reports may also concern my character, general reputation, personal characteristics, mode of living, criminal history, motor vehicle record, and other data relevant to the appointment and/or contract process with the Company. I understand the Company will use this data within that process as one factor in considering my PBA.

_____ **Producer Signature**

_____ **Date**

_____ **Producer Name**

Please Note: No business may be solicited on behalf of London Underwriters, LLC or Certain Underwriters at Lloyd's until you have received written authorization to do so by London Underwriters, LLC.